### Substitute for Form 1449/PTO Complete if Known INFORMATION DISCLOSURE Application Number 09.845,088 Filing Date April 26, 2001 STATEMENT BY APPLICANT First Named Inventor J.J. Garcia-Luna Aceves (use as many sheets as necessary) 2154 Examiner Name Siddigi, Mohammad A Sheet of 4 Attorney Docket Number 5543P003

Examiner Initials*	Cite No.	ite No 1 Document Number	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant
		Numbe	r-Kind Code <sup>2</sup> (if known)			Passages or Relevant Figures Appear
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Examiner Initials*	Cite No '	Foreign Patent Document  Country Code <sup>1</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ((Finosity)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T°
		WO 98/57275	12/17/1998	Kavak		
		WO 99/40514	8/12/1999	Farber et al.		
		WO 01/18641 A1	3/15/2001	McCanne et al.		

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			U.S. PATI	ENT DOCUMENTS		
Examiner Initials*	Cite No.	Cite No. Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant
				Numbe	er-Kind Code <sup>2</sup> (# known)	
		US-	US 2002/0010737 A1	1/24/2002	Garcia-Luna-Aceves et al.	
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		US-	US 2003-0101278 A1	5/23/2003	Garcia-Luna-Aceves et al.	
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Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>1</sup> Number <sup>1</sup> Kind Code <sup>1</sup> (3Knows)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т°

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Sheet

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Con	plete if Known
Application Number	09 845,088
Filing Date	April 26, 2001
First Named Inventor:	J.J. Garcia-Luna Aceves
Art Unit	2154
Examiner Name	Siddiqi, Mohammad A.
Attorney Docket Number	5543P003

Sneet		3 <b>of</b> 4 Attorney Docket Number 5543P003				
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		Lixia Zhang, Sally Floyd. Van Jacobsen, "Adaptive Web Caching," Proceedings of the 1997 NLANR Web Cache Workshop, pp. 1-9 (April 25, 1997)				
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Signature	Considered	

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(November 1998)

## Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

WIPO ST.16 Kind Codes	Kind of document	Comments
Al	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
A9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pre-grant publication and available March 2001
C1, C2, C3	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
Е	Reissue Patent	No change
Н	Statutory Invention Registration (SIR)	No change
PI	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
Р3	Plant Patent	Having a previously published pre-grant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
Р9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S	Design Patent	No change